E 40 DOCKET NO. 313449-P0009

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RE APPLICATION OF: Frampton E. ELLIS III

ART UNIT: 2152

SERIAL NO.: 09/669,730

EXAMINER: Dung C. DINH

FILING DATE: September 26, 2000

FOR: INTERNAL FIREWALL FOR A PERSONAL COMPUTER TO DENY ACCESS BY A

NETWORK TO A USER'S SECURE PORTION

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

January 25, 2006

Assistant Commissioner For Patents PO Box 1450 Alexandria, Virginia 22313-1450

Attention: Application Division, Customer Corrections

Sir:

The Patent Office is requested to provide a corrected Official Filing Receipt for the attached. Correction(s) should be made to the Official Filing Receipt so that it reads as follows:

THIS APPLICATION IS A CON OF 09/320,660 5/27/1999 Pat 6,167,428 WHICH IS A CON OF PCT/US97/21812 11/28/1997 WHICH IS A CIP OF 08/980,058 11/26/97 WHICH CLAIMS BENEFIT OF 60/031,855 11/29/1996 AND CLAIMS BENEFIT OF 60/032,207 12/02/1996 AND CLAIMS BENEFIT OF 60/033,871 12/20/1996 AND CLAIMS BENEFIT OF 60/066,313 11/21/1997 AND CLAIMS BENEFIT OF 60/066,415 11/24/1997

Thank you.

Respectfully submitted,

DLA PIPER RUDNICK GRAY CARY US LLP

By:

Dale S. Lazar

Registration No. 28,872

Lisa K. Norton

Registration No. 44,977

PO Box 9271 Reston, VA 20195-3171 (703) 773-4141 Telephone (202) 689-7414 Facsimile

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER GRP ART UNIT | FIL FEE REC'D | ATTY.DOCKET.NO | DRAWINGS FILING DATE IND CLAIMS 09/669,730 09/26/2000 2152 798· PM 265678

> **CONFIRMATION NO. 5626** CORRECTED FILING RECEIPT

Richard C Irving Pillsbury Madison & Sutro LLP Ninth Floor 1100 New York Avenue NW Washington, DC 20005-3918

Date Mailed: 03/30/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Frampton E. Ellis, Arlington, VA;

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CON OF 09/320,660 05/27/1999 PAT 6,167,428 WHICH CLAIMS BENEFIT OF 60/031,855 11/29/1996 AND CLAIMS BENEFIT OF 60/032,207 12/02/1996 AND CLAIMS BENEFIT OF 60/033,871 12/20/1996 AND CLAIMS BENEFIT OF 60/066,313 11/21/1997 AND CLAIMS BENEFIT OF 60/066,415 11/24/1997 AND A CIP OF 08/980,058 11/26/1997 AND A CON OF PCT/US9 V21812 11/28/1997

See Request for Corrected official Filips Sheet for corrections.

Foreign Applications

If Required, Foreign Filing License Granted 11/16/2000

Projected Publication Date:

Non-Publication Request: No

Early Publication Request: No.

** SMALL ENTITY **

Title

INTERNAL PERSONAL COMPUTER FIREWALL FOR COMPLETE DENIAL OF ACESS BY NETWORK TO USER'S SECURE PORTION

LUBU LULU

Preliminary Class

709

Data entry by: SHEFFEY, CATHERINE

Team: 1600

Date: 03/30/2001

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CRF 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, DC 20231



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office Address COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 www.uspto.gov

4610 **4610** Richard C'Irving Pillsbury Madison & Sutro LLP Ninth Floor 1100 New York Avenue NW Washington, DC 20005-3918

NOTICE OF CONFIRMATION NUMBER ASSIGNMENT

(Confirmation Number Required For Electronic Filing System Submissions)

Starting November 29, 2000, newly filed utility and plant applications for patents become subject to publication, and pending utility and plant applications may be published on the request of applicants. See 35 USC 122(b) and Changes to Implement Eighteen-Month Publication of Patent Applications, 65 Fed. Reg. 57023 (September 20, 2000), 1239 Off. Gaz. Pat. Office 63 (October 10, 2000). See http://www.uspto.gov/web/offices/dcom/olia/aipa/rulechgs.htm. For applications filed before, but pending on, November 29, 2000, an applicant may request voluntary publication pursuant to 37 CFR 1.221. The request must include a copy of the application in compliance with the Office's Electronic Filing System (EFS) requirements and be accompanied by the publication fee (37 CFR 1.18(d)) and the processing fee (37 CFR 1.17(i)). See http://www.uspto.gov/ebc/efs/index.html for a discussion of the EFS requirements.

The EFS requirements include the use of a "confirmation number" in addition to the application number when submitting a specification for publication in order to ensure that the electronic submission is associated with the correct application number assigned to the application. This confirmation number is used in conjunction with the application number to identify a pending application. This procedure is necessary because many applications will have identical filing dates and similar application numbers and therefore a typographical error in the application number could result in the specification submitted via the EFS being placed in the wrong application if only the filing date and application number were used as the confirmation mechanism.

If an electronic copy of the data contained in this notice is desired, or if there are questions concerning this notice, contact the EBC Customer Support Center at (703) 305-3028.

Serial No. 09/669,730 Cnfrm No.

5626

Filing Date 26-SEP-00

Attorney Dkt

PM 265678

BEST AVAILABLE COPY

